

## Test Report

No. CANEC1506744701

Date: 12 May 2015

Page 1 of 7

WINSEMI MICROELECTRONICS COMPANY LIMITED

ROOM 1002,EAST,2,HIGH TECH PLAZA,TIANAN CYBER PARK,CHEGONGMIAO,SHENZHEN,CHINA

The following sample(s) was/were submitted and identified on behalf of the clients as : TO-92

SGS Job No. : CP15-023030 - SZ

Model No. : TO-92

Client Ref. Info. : TO-92/TO-220F/TO-126/TO-220AB/TO-251/TO-252/  
IPAK/DPAK/TO-277/SOT-223/SOT-89

Supplier : WINSEMI

Date of Sample Received : 29 Apr 2015

Testing Period : 29 Apr 2015 - 11 May 2015

Test Requested : Selected test(s) as requested by client.

Test Method : Please refer to next page(s).

Test Results : Please refer to next page(s).

Conclusion : Based on the performed tests on submitted sample(s), the results of Lead, Mercury, Cadmium, Hexavalent chromium, Polybrominated biphenyls (PBBs), Polybrominated diphenyl ethers (PBDEs) comply with the limits as set by RoHS Directive 2011/65/EU Annex II; recasting 2002/95/EC.

Signed for and on behalf of  
SGS-CSTC Standards Technical Services Co., Ltd. Guangzhou Branch



Alkene Liang  
Approved Signatory



This document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: [CN.Doccheck@sgs.com](mailto:CN.Doccheck@sgs.com)

198 Kezhu Road, Sciencetech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn  
中国·广州·经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e [sgs.china@sgs.com](mailto:sgs.china@sgs.com)

# Test Report

No. CANEC1506744701

Date: 12 May 2015

Page 2 of 7

Test Results :

Test Part Description :

Specimen No.	SGS Sample ID	Description
SN1	CAN15-067447.001	Silvery metal pin
SN2	CAN15-067447.002	Black body

Remarks :

- (1) 1 mg/kg = 1 ppm = 0.0001%
- (2) MDL = Method Detection Limit
- (3) ND = Not Detected ( < MDL )
- (4) "-" = Not Regulated

**RoHS Directive 2011/65/EU**

- Test Method :
- (1)With reference to IEC 62321-5:2013, determination of Cadmium by ICP-OES.
  - (2)With reference to IEC 62321-5:2013, determination of Lead by ICP-OES.
  - (3)With reference to IEC 62321-4:2013, determination of Mercury by ICP-OES.
  - (4)With reference to IEC 62321:2008, determination of Hexavalent Chromium by Colorimetric Method using UV-Vis.
  - (5)With reference to IEC 62321:2008, determination of PBBs and PBDEs by GC-MS.

Test Item(s)	Limit	Unit	MDL	002
Cadmium (Cd)	100	mg/kg	2	ND
Lead (Pb)	1,000	mg/kg	2	11306▲
Mercury (Hg)	1,000	mg/kg	2	ND
Hexavalent Chromium (CrVI)	1,000	mg/kg	2	ND
Sum of PBBs	1,000	mg/kg	-	ND
Monobromobiphenyl	-	mg/kg	5	ND
Dibromobiphenyl	-	mg/kg	5	ND
Tribromobiphenyl	-	mg/kg	5	ND
Tetrabromobiphenyl	-	mg/kg	5	ND
Pentabromobiphenyl	-	mg/kg	5	ND
Hexabromobiphenyl	-	mg/kg	5	ND
Heptabromobiphenyl	-	mg/kg	5	ND
Octabromobiphenyl	-	mg/kg	5	ND
Nonabromobiphenyl	-	mg/kg	5	ND
Decabromobiphenyl	-	mg/kg	5	ND
Sum of PBDEs	1,000	mg/kg	-	ND



This document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: CN.Doccheck@sgs.com

SGS-CTI Standards Technical Services Co., Ltd.  
Guangzhou Chemical Laboratory

198 Kezhu Road, Sciencetech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgs.com.cn  
中国·广州·经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e sgs.china@sgs.com

## Test Report

No. CANEC1506744701

Date: 12 May 2015

Page 3 of 7

<u>Test Item(s)</u>	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>002</u>
Monobromodiphenyl ether	-	mg/kg	5	ND
Dibromodiphenyl ether	-	mg/kg	5	ND
Tribromodiphenyl ether	-	mg/kg	5	ND
Tetrabromodiphenyl ether	-	mg/kg	5	ND
Pentabromodiphenyl ether	-	mg/kg	5	ND
Hexabromodiphenyl ether	-	mg/kg	5	ND
Heptabromodiphenyl ether	-	mg/kg	5	ND
Octabromodiphenyl ether	-	mg/kg	5	ND
Nonabromodiphenyl ether	-	mg/kg	5	ND
Decabromodiphenyl ether	-	mg/kg	5	ND

### Notes :

(1) The maximum permissible limit is quoted from the directive 2011/65/EU, Annex II.

### RoHS Directive 2011/65/EU

Test Method : (1)With reference to IEC 62321-5:2013, determination of Cadmium by ICP-OES.  
 (2)With reference to IEC 62321-5:2013, determination of Lead by ICP-OES.  
 (3)With reference to IEC 62321-4:2013, determination of Mercury by ICP-OES.  
 (4)With reference to IEC 62321:2008, determination of Hexavalent Chromium by spot test / Colorimetric Method using UV-Vis.

<u>Test Item(s)</u>	<u>Limit</u>	<u>Unit</u>	<u>MDL</u>	<u>001</u>
Cadmium (Cd)	100	mg/kg	2	ND
Lead (Pb)	1,000	mg/kg	2	29
Mercury (Hg)	1,000	mg/kg	2	ND
Hexavalent Chromium (CrVI)	-	-	◇	Negative

### Notes :

(1) The maximum permissible limit is quoted from the directive 2011/65/EU, Annex II.

(2)◇Spot-test:

Negative = Absence of CrVI coating, Positive = Presence of CrVI coating;

(The tested sample should be further verified by boiling-water-extraction method if the spot test result is Negative or cannot be confirmed.)

◇Boiling-water-extraction:

Negative = Absence of CrVI coating

Positive = Presence of CrVI coating; the detected concentration in boiling-water-extraction solution is equal or greater than 0.02 mg/kg with 50 cm<sup>2</sup> sample surface area.

Information on storage conditions and production date of the tested sample is unavailable and thus results of Cr(VI) represent status of the sample at the time of testing.



This document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing / inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: [CN.Doccheck@sgs.com](mailto:CN.Doccheck@sgs.com)

**Phthalate**

Test Method : Determination of phthalates by GC-MS based on EN 14372:2004.

<u>Test Item(s)</u>	<u>CAS NO.</u>	<u>Unit</u>	<u>MDL</u>	<u>002</u>
Dibutyl Phthalate (DBP)	84-74-2	%(w/w)	0.003	ND
Benzylbutyl Phthalate (BBP)	85-68-7	%(w/w)	0.003	ND
Bis(2-ethylhexyl) Phthalate (DEHP)	117-81-7	%(w/w)	0.003	ND
Diisobutyl Phthalate (DIBP)	84-69-5	%(w/w)	0.003	ND

Notes :

Reference Information: Directive 2011/65/EU recasting RoHS directive 2002/95/EC and the latest amending directive:

(1) Bis (2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), and Dibutyl phthalate (DBP) are considered as a priority for risk evaluation and substance restriction.

(2) Bis (2-ethylhexyl) phthalate (DEHP), Butyl benzyl phthalate (BBP), Dibutyl phthalate (DBP) and Diisobutyl Phthalates (DIBP) have been adopted in the draft of Commission Delegated Directive amending Annex II to Directive 2011/65/EU of the European Parliament, and the regulatory limit of each restricted substances should be 0.1%.

Remark▲: According to the declaration from the client, Lead (Pb) in specimen 002 is exempted by EU RoHS directive 2011/65/EU based on [ANNEX III 7(a)]: Lead in high melting temperature type solders (i.e. lead-based alloys containing 85 % by weight or more lead).



This document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

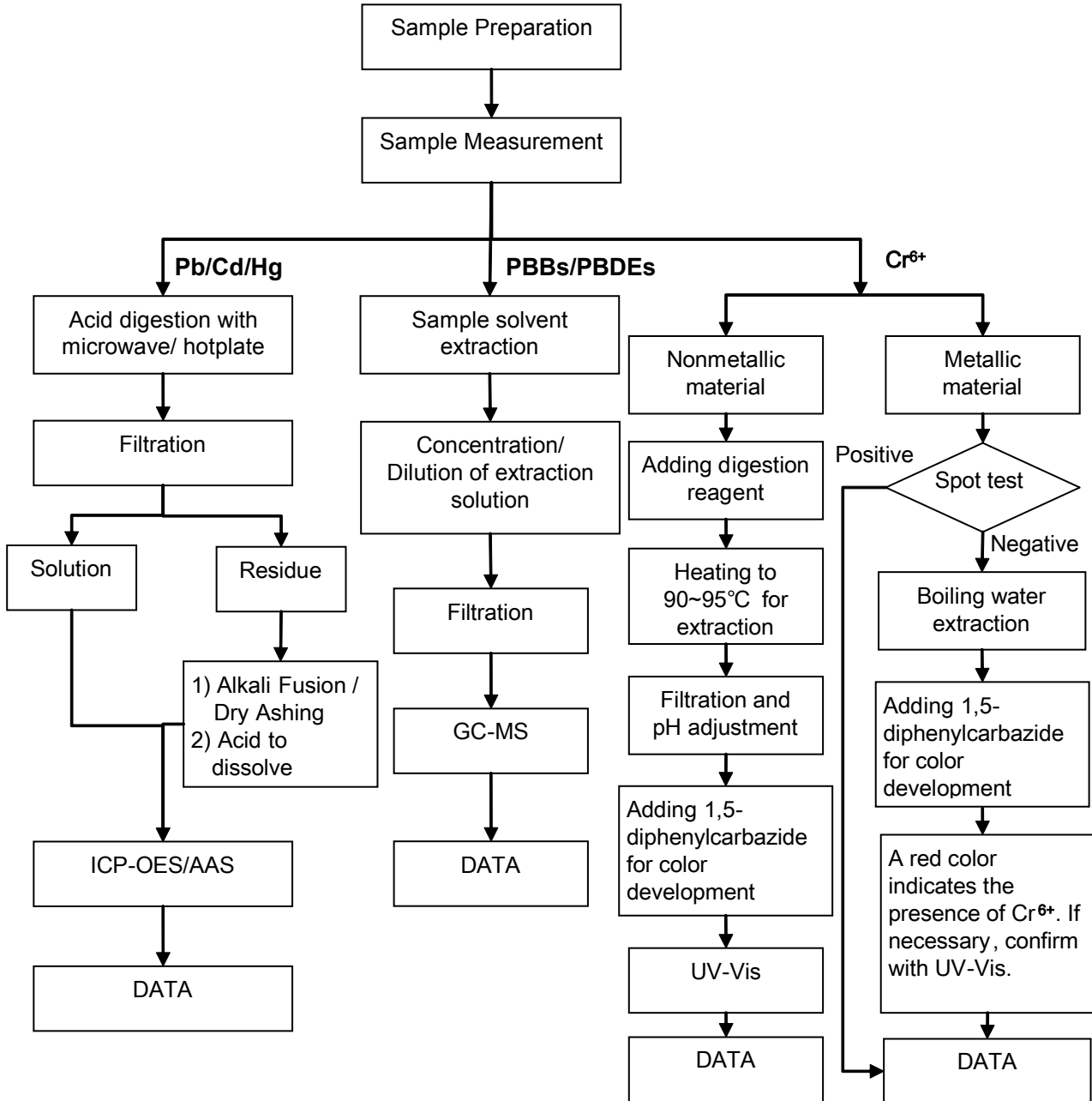
Attention: To check the authenticity of testing /inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: [CN.Doccheck@sgs.com](mailto:CN.Doccheck@sgs.com)

198 Kezhu Road, Sciencetech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn  
中国·广州·经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e [sgs.china@sgs.com](mailto:sgs.china@sgs.com)

ATTACHMENTS

RoHS Testing Flow Chart

- 1) Name of the person who made testing: Bruce Xiao / Sunny Hu
- 2) Name of the person in charge of testing: Bella Wang / Cutey Yu
- 3) These samples were dissolved totally by pre-conditioning method according to below flow chart (Cr<sup>6+</sup> and PBBs/PBDEs test method excluded).



This document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

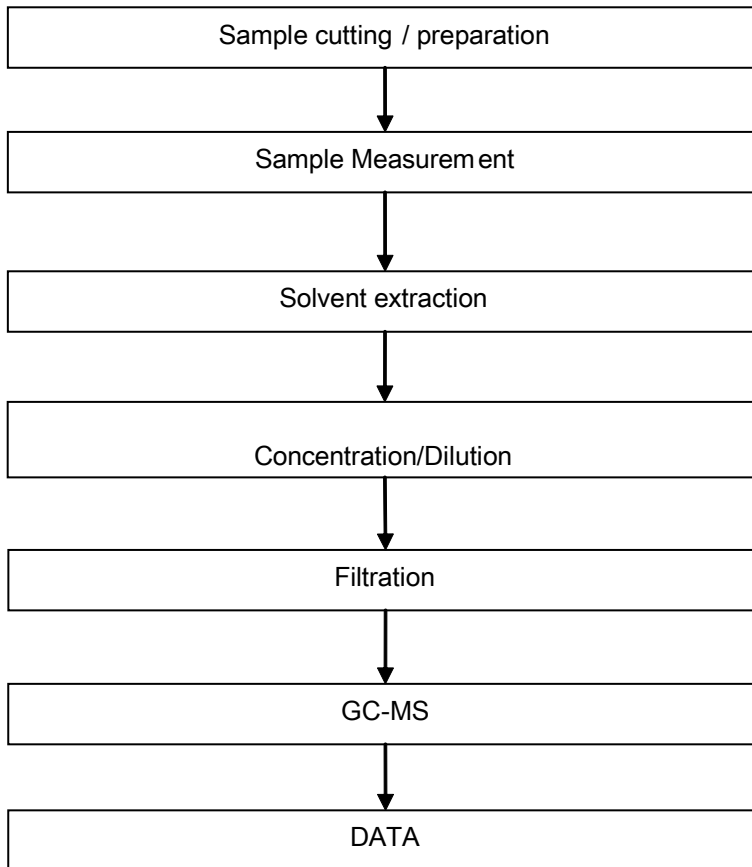
Attention: To check the authenticity of testing / inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: [CN.Doccheck@sgs.com](mailto:CN.Doccheck@sgs.com)

198 Kezhu Road, Sciencetech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgs.com.cn  
 中国·广州·经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e [sgs.china@sgs.com](mailto:sgs.china@sgs.com)

ATTACHMENTS

Phthalates Testing Flow Chart

- 1) Name of the person who made testing: Sunny Hu
- 2) Name of the person in charge of testing: Cutey Yu

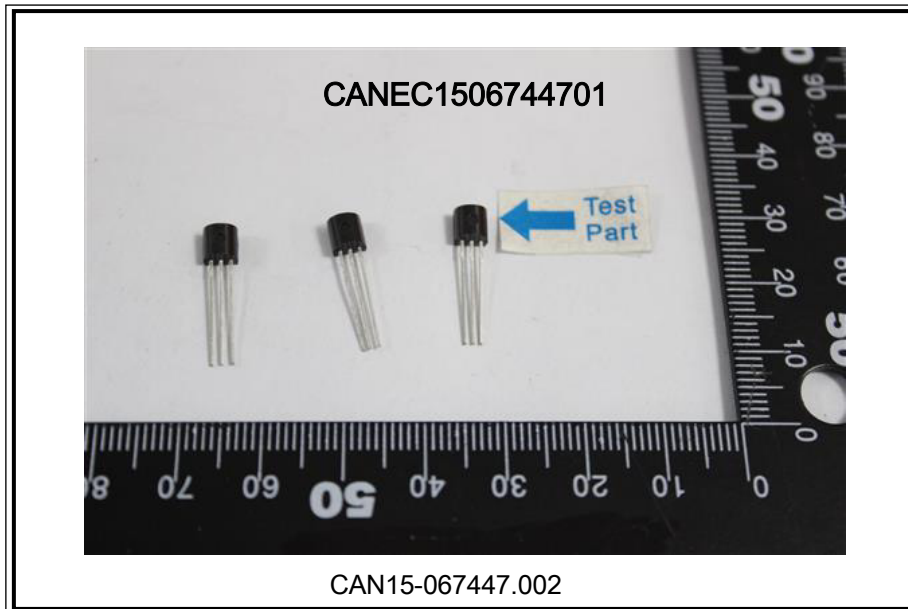
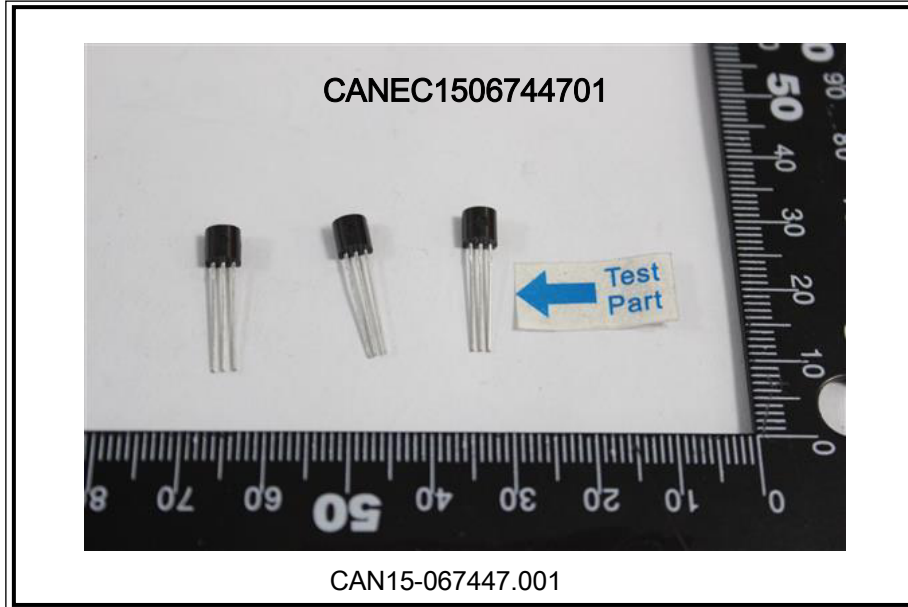


This document is issued by the Company subject to its General Conditions of Service printed overleaf, available on request or accessible at <http://www.sgs.com/en/Terms-and-Conditions.aspx> and, for electronic format documents, subject to Terms and Conditions for Electronic Documents at <http://www.sgs.com/en/Terms-and-Conditions/Terms-e-Documents.aspx>. Attention is drawn to the limitation of liability, indemnification and jurisdiction issues defined therein. Any holder of this document is advised that information contained hereon reflects the Company's findings at the time of its intervention only and within the limits of Client's instructions, if any. The Company's sole responsibility is to its Client and this document does not exonerate parties to a transaction from exercising all their rights and obligations under the transaction documents. This document cannot be reproduced except in full, without prior written approval of the Company. Any unauthorized alteration, forgery or falsification of the content or appearance of this document is unlawful and offenders may be prosecuted to the fullest extent of the law. Unless otherwise stated the results shown in this test report refer only to the sample(s) tested.

Attention: To check the authenticity of testing / inspection report & certificate, please contact us at telephone: (86-755) 8307 1443, or email: [CN.Doccheck@sgs.com](mailto:CN.Doccheck@sgs.com)

198 Kezhu Road, Sciencetech Park Guangzhou Economic & Technology Development District, Guangzhou, China 510663 t (86-20) 82155555 f (86-20) 82075113 www.sgsgroup.com.cn  
 中国·广州·经济技术开发区科学城科珠路198号 邮编: 510663 t (86-20) 82155555 f (86-20) 82075113 e [sgs.china@sgs.com](mailto:sgs.china@sgs.com)

Sample photo:



SGS authenticate the photo on original report only

\*\*\* End of Report \*\*\*